

APPENDIX G

NATIONAL COMPLIANCE SUMMARY REPORT

DOJ Case No. 90-5-1-1-08332

Date: ____/____/____

Reporting Term

Date of Entry of Consent Decree: ____/____/____

- ☐ 6 Months Post Date of Entry of Consent Decree (stipulated penalties do not accrue, except per Paragraph 42(a))
- ☐ 12 Months Post Date of Entry of Consent Decree
- ☐ 2 Years Post Date of Entry of Consent Decree
- ☐ 3 Years Post Date of Entry of Consent Decree

National Storm Water Compliance Representative

National Storm Water Compliance Representative
Pulte Homes, Inc.
1901 N. Roselle Rd., Suite 1000
Schaumburg, IL 60195
Phone: (847) 230-5288

Active Construction Sites Assessment Statistics

Number of Sites assessed during Reporting Term: _____

Potential Stipulated Penalty Summary

The following stipulated penalties (except Subparagraph 42(a)) will begin accruing on _____. [Date to be filled in permanently once the Consent Decree is entered.] Paragraph references are to the Consent Decree. All compliance estimates below are derived from Pulte's National Storm Water Quality Program Assessment Program. A total of _____ Sites were inspected under this assessment process during this reporting period.

- 1) Estimated total number of days of discharges of pollutants to a water of the United States prior to obtaining permit coverage per Paragraph 42(a): _____.

Potential stipulated penalty: \$ _____.

- 2) Estimated number of Sites at which Storm Water Pre-Construction Review was not performed or, if performed, there was a material failure to document per Paragraph 42(b): _____.

Potential stipulated penalty: \$ _____.

- 3) Estimated percent of missed/materially undocumented Site Inspections per Paragraph 42(c): _____.

Potential stipulated penalty: \$ _____.

- 4) Estimated percent of Quarterly Division Site Inspections or Division Site Reports that were not performed, or if performed, there was a material failure to document per Paragraph 42(d): _____.

_____ = Estimated number of missed/materially undocumented Inspections or Reports penalized at \$100 each.

_____ = Estimated number of missed/materially undocumented Inspections or Reports penalized at \$200 each.

_____ = Estimated number of missed/materially undocumented Inspections or Reports penalized at \$300 each.

Potential stipulated penalty: \$ _____.

- 5) Estimated number of failures to prepare a Quarterly Division Wide Report per Paragraph 42(e):

_____ = Estimated number penalized at \$250 per report.

_____ = Estimated number penalized at \$500 per report.

_____ = Estimated number penalized at \$5,000 per report.

Potential stipulated penalty: \$ _____.

6) Estimated number of untrained or uncertified Site Storm Water Representatives per Paragraph 42(f): _____.

Potential stipulated penalty: \$_____.

Total Potential Stipulated Penalties: \$_____.

Discharges Without a Permit

For Sites assessed by the National Storm Water Quality Program Assessment Program, provide the Site name, State and number of days during which discharges per Paragraph 42(a) occurred, if any:

<u>Site Name</u>	<u>State</u>	<u>Number of Days</u>
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Supplemental Performance Reporting (Not Subject to Stipulated Penalties)

1) Responsive Actions:

Estimated Percentage of Non-Compliance with Responsive
Action Completion Requirement of Applicable Permit: _____%.

2) Whether a SWPPP was located as required by Paragraph 11(h) at the time of a Site
Inspection required by Paragraph 13 or 15:

Estimated Percentage of Non-Compliance: _____%.

Annual Evaluation of Pulte's National Storm Water Quality Training Program

To be included in the 2nd semi-annual National Compliance Summary Report and every annual National Compliance Summary Report thereafter (per Paragraph 18(c)).

Narrative assessment of the NSQP training program:

Significant proposed changes to Pulte's National Storm Water Quality Training Program

To be included in the 2nd semi-annual National Compliance Summary Report and every annual National Compliance Summary Report thereafter.

If applicable, narrative description of any significant proposed changes to Pulte's NSQP training program for EPA approval:

Comments regarding information submitted in this Report:

Certification Statement of National Storm Water Compliance Representative

I hereby certify under penalty of law that this document and all attachments were prepared by me or under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete.

I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Pulte National Storm Water
Compliance Representative:

Print Name

Signature (Use Ink)

Date _____

Sign and send original to:

Chief, Environmental Enforcement Section
Environment and Natural Resource Division
U.S. Department of Justice
Box 7611 Ben Franklin Station
Washington, DC 20044-7611
Re: DOJ Case No. 90-5-1-1-08332

Director, Water Enforcement Division
Office of Enforcement and Compliance Assurance
U.S. EPA
Mail Code 2243A
Room 3102
1200 Pennsylvania Avenue, NW
Washington, DC 20460-00001

cc:

Each of the State Plaintiffs
1234 Anywhere Street, Suite # A
Any town, CA 00000-0000
Attn: Contact Name Here

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